

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	17	Application Number	10/609,382, Patent No. 6,869,230
		Filing Date	July 1, 2003; Issue date: Mar. 22, '05
		First Named Inventor	K. Cheng
		Art Unit	2874
		Examiner Name	J. Rahll
		Attorney Docket Number	25551.0005

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Certificate of Correction, Certificate of Correction, Statement of S. K. Lam, Statements of Listed Inventors, Statement of Assignee
<div style="border: 1px solid black; padding: 2px;">Remarks</div>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm	Bingham McCutchen LLP		
Signature			
Printed Name	Sean P. O'Hanlon		
Date	January 19, 2007	Reg. No.	47,252

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature			
Typed or printed name		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# **FEE TRANSMITTAL for FY 2006**

☒ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$) 230

**Complete if Known**

Application Number	10/609,382, Patent No. 6,869,230
Filing Date	July 1, 2003, Patent issue date: March 22, 2005
First Named Inventor	K. Cheng
Examiner Name	J. Rahl
Art Unit	2874
Attorney Docket No.	25551.0005

**METHOD OF PAYMENT (check all that apply)**
☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify) : \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 195127 Deposit Account Name: Bingham McCutchen LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) ☒ Credit any overpayments

Under 37 CFR 1.16 and 1.17

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION**
**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee(\$)	Fee(\$)	Small Entity Fee(\$)	Fee(\$)	Small Entity Fee(\$)	
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

**2. EXCESS CLAIM FEES**

<u>Fee Description</u>				<u>Fee (\$)</u>	<u>Fee (\$)</u>
Each claim over 20 (including Reissues)				50	25
Each independent claim over 3 (including Reissues)				200	100
Multiple dependent claims				360	180
<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee(\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>	
_____ -20 or HP=	_____ x	_____ =	_____	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
HP = highest number of total claims paid for, if greater than 20.					
<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee(\$)</u>	<u>Fee Paid (\$)</u>		
_____ - 3 or HP=	_____ x	_____ =	_____		
HP = highest number of independent claims paid for, if greater than 3.					

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____ - 100 = _____	/ 50 = _____	(round up to a whole number) x _____	= _____	_____

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge) : Cert. of Correction, Processing

**\$230**
**SUBMITTED BY**

Signature		Registration No. (Attorney/Agent)	47,252	Telephone	(202) 373-6029
Name (Print/Type)	Sean P. O'Hanlon	Date	January 19, 2007		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :  
: :  
Kwok Sing CHENG et al. : :  
: :  
Patent No.: 6,869,230 : :  
: :  
Application No.: 10/609,382 : :  
: :  
Filed: July 1, 2003 : :  
: :  
Titled: ASSEMBLY FOR HIGH-SPEED OPTICAL TRANSMITTER OR RECEIVER

**REQUEST FOR CERTIFICATE OF CORRECTION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn: Certificate of Correction Branch

Dear Sir:

Applicants and Assignee request a Certificate of Correction be issued to correct the inventorship of the above-referenced patent. S.K. Lam should be included as an inventor. For your convenience, a proposed Certificate of Correction is enclosed. Statements by the inventor to be added, the current listed inventors, and the Assignee are also included.

Please charge required fees, including the \$100 Certificate of Correction fee and the \$130 processing fee to Bingham McCutchen LLP Deposit Account No. 195127, Order No. 25551.0005.

01/22/2007 SZEWDIE1 00000134 195127 6869230  
01 FC:1811 100.00 DA

Should any U.S. Patent and Trademark Office personnel feel further communication would help prosecution, such personnel are urged to call the undersigned at the telephone number provided below.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Sean P. O'Hanlon". The signature is stylized with a large, looped "S" and "H".

Sean P. O'Hanlon  
Reg. No. 47,252

Dated: January 19, 2007

Bingham McCutchen LLP  
3000 K Street, NW  
Suite 300  
Washington, DC 20007  
(202) 424-7500

## UNITED STATES PATENT AND TRADEMARK OFFICE

### CERTIFICATE OF CORRECTION

PATENT NO : 6,869,230

DATED : March 22, 2005

INVENTOR(S) : Kwok Sing Cheng et al.

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

Title Page

Item (75), Inventors, "Kwok Sing Cheng, New Territories (HK); Yeung Ming Alice Chow, New Territories (HK); Wai Ming Edwin Cheung, Tai Po (HK); Fuk Kay Franklin Tong, New Territories (HK); Hing Wa Flora Ho, Kowloon (HK); Allan Hui, Shatin (HK); Nelson Lau, Kowloon (HK); Ka Suen Lee, Kowloon (HK); Chi Hung Lin, Kowloon (HK); Chi Yue Raymond Cheung, Kowloon (HK); Chun Wah Colin Fan, Pokfulam (HK)"

should read

-- Kwok Sing Cheng, New Territories (HK); Yeung Ming Alice Chow, New Territories (HK); Wai Ming Edwin Cheung, Tai Po (HK); Fuk Kay Franklin Tong, New Territories (HK); Hing Wa Flora Ho, Kowloon (HK); Allan Hui, Shatin (HK); Nelson Lau, Kowloon (HK); Ka Suen Lee, Kowloon (HK); Chi Hung Lin, Kowloon (HK); Chi Yue Raymond Cheung, Kowloon (HK); Chun Wah Colin Fan, Pokfulam (HK); S.K. Lam, Kowloon, (HK) --

MAILING ADDRESS OF SENDER: Edward A. Pennington, Esq.  
Bingham McCutchen LLP  
3000 K Street, NW, Suite 300  
Washington, DC 20007-5116

PATENT NO. 6,869,230

No. of additional copies



This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Attorney Docket: 25551.0005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kwok Sing CHENG et al.

Patent No.: 6,869,230

Application No.: 10/609,332

Filed: July 1, 2003

Titled: ASSEMBLY FOR HIGH-SPEED OPTICAL TRANSMITTER OR RECEIVER

STATEMENT OF S.K. LAM UNDER 37 C.F.R. §1.324(b)(1)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn: Certificate of Correction Branch

Dear Sir:

I, S.K. Lam, hereby declare as follows:

An error in inventorship occurred in the above-referenced application when I was not included as an inventor. This error occurred without any deceptive intention on my part.

Date: April 4<sup>th</sup>, 2006

S.K. Lam  
S.K. Lam



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kwok Sing CHENG et al.

Patent No.: 6,869,230

Application No.: 10/609,382

Filed: July 1, 2003

Titled: ASSEMBLY FOR HIGH-SPEED OPTICAL TRANSMITTER OR RECEIVER

**STATEMENT FROM CURRENT NAMED INVENTORS**  
**UNDER 37 CFR §1.324(b)(2)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn: Certificate of Correction Branch

Dear Sir:


I, Kwok Sing Cheng, Yeung Ming Alice Chow, Wai Ming Edwin Cheung, Fuk Kay Franklin Tong, Hing Wa Flora Ho, Allan Hui, Nelson Lau, Ka Suen Lee, Chi Hung Lin, Chi Yue Raymond Cheung, and Chun Wah Colin Fan, hereby declare as follows:

An error in inventorship occurred in the above-referenced application when S.K. Lam was not included as an inventor.

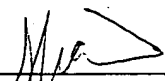
We agree to the change of inventorship to Kwok Sing Cheng, Yeung Ming Alice Chow, Wai Ming Edwin Cheung, Fuk Kay Franklin Tong, Hing Wa Flora Ho, Allan Hui, Nelson Lau, Ka Suen Lee, Chi Hung Lin, Chi Yue Raymond Cheung, Chun Wah Colin Fan, and S.K. Lam.

01/22/2007 SZEWDIE1 00000134 195127 6869230  
02 FC:1464 130.00 DA


Date: 11 Apr, 2006

  
Kwok Sing Cheng

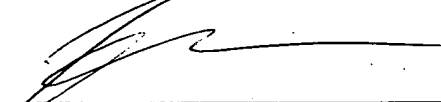
Date: 11 Apr, 2006

  
Yeung Ming Alice Chow

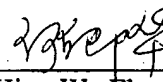
Date: 11 Apr, 2006

  
Wai Ming Edwin Cheung

Date: 27 Apr, 2006

  
Fuk Kay Franklin Tong

Date: 12 Apr, 2006

  
Hing Wa Flora Ho

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Allan Hui

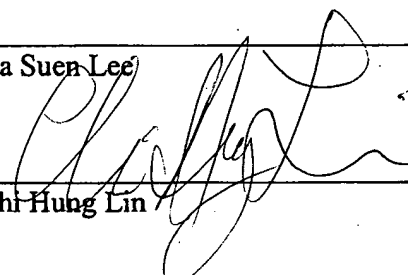
Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Nelson Lau

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Ka Suen Lee


Date: April 28, 2006

  
Chi Hung Lin

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Chi Yue Raymond Cheung

Date: 20 Apr, 2006

  
Chun Wah Colin Fan





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kwok Sing CHENG et al.

Patent No.: 6,869,230

Application No.: 10/609,382

Filed: July 1, 2003

Titled: ASSEMBLY FOR HIGH-SPEED OPTICAL TRANSMITTER OR RECEIVER

**STATEMENT FROM CURRENT NAMED INVENTORS**  
**UNDER 37 CFR §1.324(b)(2)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn: Certificate of Correction Branch

Dear Sir:

I, Kwok Sing Cheng, Yeung Ming Alice Chow, Wai Ming Edwin Cheung, Fuk Kay Franklin Tong, Hing Wa Flora Ho, Allan Hui, Nelson Lau, Ka Suen Lee, Chi Hung Lin, Chi Yue Raymond Cheung, and Chun Wah Colin Fan, hereby declare as follows:

An error in inventorship occurred in the above-referenced application when S.K. Lam was not included as an inventor.

We agree to the change of inventorship to Kwok Sing Cheng, Yeung Ming Alice Chow, Wai Ming Edwin Cheung, Fuk Kay Franklin Tong, Hing Wa Flora Ho, Allan Hui, Nelson Lau, Ka Suen Lee, Chi Hung Lin, Chi Yue Raymond Cheung, Chun Wah Colin Fan, and S.K. Lam.

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Kwok Sing Cheng

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Yeung Ming Alice Chow

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Wai Ming Edwin Cheung

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Fuk Kay Franklin Tong

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Hing Wa Flora Ho

Date: 8/6, 2006

Allan Hui  
Allan Hui

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Nelson Lau

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Ka Suen Lee

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Chi Hung Lin

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Chi Yue Raymond Cheung

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Chun Wah Colin Fan



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kwok Sing CHENG et al.

Patent No.: 6,869,230

Application No.: 10/609,382

Filed: July 1, 2003

Titled: ASSEMBLY FOR HIGH-SPEED OPTICAL TRANSMITTER OR RECEIVER

**STATEMENT FROM CURRENT NAMED INVENTORS**  
**UNDER 37 CFR §1.324(b)(2)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn: Certificate of Correction Branch

Dear Sir:

I, Kwok Sing Cheng, Yeung Ming Alice Chow, Wai Ming Edwin Cheung, Fuk Kay Franklin Tong, Hing Wa Flora Ho, Allan Hui, Nelson Lau, Ka Suen Lee, Chi Hung Lin, Chi Yue Raymond Cheung, and Chun Wah Colin Fan, hereby declare as follows:

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Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Kwok Sing Cheng

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Yeung Ming Alice Chow

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Wai Ming Edwin Cheung

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Fuk Kay Franklin Tong

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Hing Wa Flora Ho

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Allan Hui

Date: 14 April, 2006

Nelson Lau  
\_\_\_\_\_  
Nelson Lau

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Ka Suen Lee

Date: \_\_\_\_\_, 2006

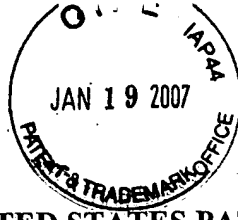
\_\_\_\_\_  
Chi Hung Lin

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Chi Yue Raymond Cheung

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Chun Wah Colin Fan



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kwok Sing CHENG et al.

Patent No.: 6,869,230

Application No.: 10/609,382

Filed: July 1, 2003

Titled: ASSEMBLY FOR HIGH-SPEED OPTICAL TRANSMITTER OR RECEIVER

**STATEMENT FROM CURRENT NAMED INVENTORS**  
**UNDER 37 CFR §1.324(b)(2)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Dear Sir:

I, Kwok Sing Cheng, Yeung Ming Alice Chow, Wai Ming Edwin Cheung, Fuk Kay Franklin Tong, Hing Wa Flora Ho, Allan Hui, Nelson Lau, Ka Suen Lee, Chi Hung Lin, Chi Yue Raymond Cheung, and Chun Wah Colin Fan, hereby declare as follows:

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Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Kwok Sing Cheng

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Yeung Ming Alice Chow

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Wai Ming Edwin Cheung

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Fuk Kay Franklin Tong

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Hing Wa Flora Ho


Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Allan Hui

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Nelson Lau

Date: May 17, 2006

  
\_\_\_\_\_  
Ka Suen Lee

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Chi Hung Lin

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Chi Yue Raymond Cheung

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Chun Wah Colin Fan



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kwok Sing CHENG et al.

Patent No.: 6,869,230

Application No.: 10/609,382

Filed: July 1, 2003

Titled: ASSEMBLY FOR HIGH-SPEED OPTICAL TRANSMITTER OR RECEIVER

**STATEMENT FROM CURRENT NAMED INVENTORS**  
**UNDER 37 CFR §1.324(b)(2)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn: Certificate of Correction Branch

Dear Sir:

I, Kwok Sing Cheng, Yeung Ming Alice Chow, Wai Ming Edwin Cheung, Fuk Kay Franklin Tong, Hing Wa Flora Ho, Allan Hui, Nelson Lau, Ka Suen Lee, Chi Hung Lin, Chi Yue Raymond Cheung, and Chun Wah Colin Fan, hereby declare as follows:

An error in inventorship occurred in the above-referenced application when S.K. Lam was not included as an inventor.

We agree to the change of inventorship to Kwok Sing Cheng, Yeung Ming Alice Chow, Wai Ming Edwin Cheung, Fuk Kay Franklin Tong, Hing Wa Flora Ho, Allan Hui, Nelson Lau, Ka Suen Lee, Chi Hung Lin, Chi Yue Raymond Cheung, Chun Wah Colin Fan, and S.K. Lam.

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Kwok Sing Cheng

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Yeung Ming Alice Chow

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Wai Ming Edwin Cheung

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Fuk Kay Franklin Tong

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Hing Wa Flora Ho

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Allan Hui

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Nelson Lau


Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Ka Suen Lee

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Chi Hung Lin

Date: 5 Sep, 2006

  
\_\_\_\_\_  
Chi Yue Raymond Cheung

Date: \_\_\_\_\_, 2006

\_\_\_\_\_  
Chun Wah Colin Fan





Attorney Docket: 25551.0005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kwok Sing CHENG et al.

Patent No.: 6,869,230

Application No.: 10/609,382

Filed: July 1, 2003

Titled: ASSEMBLY FOR HIGH-SPEED OPTICAL TRANSMITTER OR RECEIVER

STATEMENT OF ASSIGNEE UNDER 37 CFR §1.324(b)(3)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn: Certificate of Correction Branch

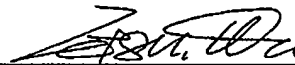
Dear Sir:

SAE MAGNETICS (HONG KONG) LIMITED, a Limited Liability Company, organized under and pursuant to the laws of Hong Kong, China states that it is the Assignee of the entire right, title, and interest in the above-identified patent by virtue of an Assignment from Hong Kong Applied Science and Technology Research Institute Co., Ltd. to SAE Magnetix (Hong Kong) Limited recorded in the United States Patent and Trademark Office on June 22, 2004, at Reel 014764, Frame 0656. The Assignment from the listed inventors to Hong Kong Applied Science and Technology Research Institute Co., Ltd. was recorded on March 25, 2004 at Reel 014461, Frame 0653.

The Assignee agrees to the change of inventorship of the above-referenced patent to include S.K. Lam as an inventor.

The undersigned, whose title is supplied below, is authorized to act on behalf of the Assignee.

Date: January 2 2007

  
Name: Teppei Watanabe  
Title: Vice President